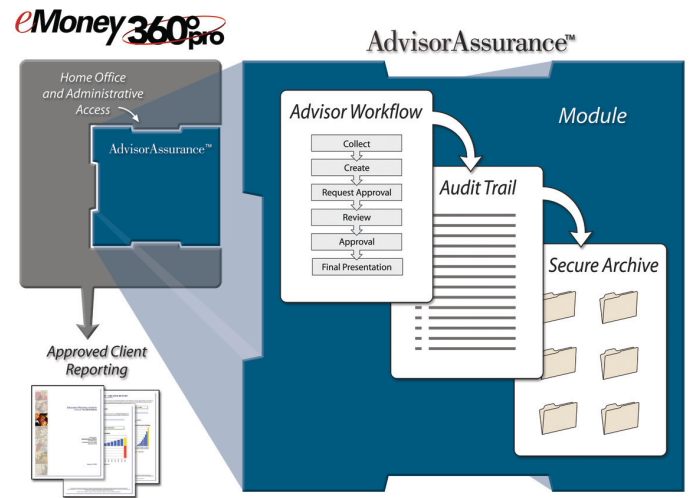


AdvisorAssurance™

Risk Management and Workflow System - Overview

Reduce the Risk Associated With Delivering Financial Services

eMoney's AdvisorAssurance™ provides financial institutions with a means of reducing the risk associated with providing financial advice, while also improving enterprise workflow and reporting capabilities. With AdvisorAssurance, financial institutions can proactively manage their exposure and ensure that their advisors follow set standards. By offering flexibility, control and optimized workflow, AdvisorAssurance helps alleviate regulatory burdens.



AdvisorAssurance works seamlessly to provide

- **Activity monitoring** including audit trail capabilities
- **Workflow process improvement** based on configurable approval processes
- **Knowledge sharing** based on enterprise level reporting
- **Improved standards of practice** through standardization of rules and regulations
- **Reduced corporate risk exposure** including watermarks and archiving

With AdvisorAssurance your institution can take proactive steps to increase client satisfaction and advisory capabilities, while reducing your risk.